

LOUISIANA PROFESSIONAL ENGINEERING
AND LAND SURVEYING BOARD
9643 BROOKLINE AVENUE, SUITE 121
BATON ROUGE, LOUISIANA 70809-1443
(225) 925-6291

IN THE MATTER OF:)
)
GREGORY S. SPENCE, P.E.)
)
RESPONDENT)
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CASE NO. 2018-107

Received

AUG 23 2019

By LAPELS Enforcement

CONSENT ORDER

The Louisiana Professional Engineering and Land Surveying Board [hereinafter the "Board"], by and through its Executive Director, pursuant to authorization by the Board, and Gregory S. Spence, P.E. [hereinafter "Respondent"] hereby agree to the following:

Respondent has been licensed in the State of Louisiana as a professional engineer, number PE-39940 since June 18, 2015. At all times material hereto, Ross & Baruzzini, Inc. [hereinafter "R&B"] was licensed in the State of Louisiana as a professional engineering firm, number EF-2951; however, its license was in an expired status from October 1, 2014 through July 25, 2017. Respondent was an employee and representative of R&B from June 1998 to May 13, 2016.

An investigation was opened based upon the Board's receipt of an Application to Reinstatement Expired Firm License, in which R&B admitted that it had practiced or offered to practice engineering in Louisiana during which time its license was expired. The investigation disclosed that between October 1, 2014 and July 25, 2017 R&B admittedly offered to provide engineering services in Louisiana and provided engineering services on a project in Louisiana. Between June 18, 2015 and May 13, 2016 Respondent provided engineering services for R&B in Louisiana in connection with said project.

La. R.S. 37:698(A)(9) and (16) prohibit licensees from practicing and/or offering to practice engineering with an expired license. La. R.S. 37:698(A)(12) prohibits licensees from aiding or assisting another person in violating the laws and/or rules of the Board. La. R.S. 37:698(H) permits the Board to take disciplinary action against persons who violate La. R.S. 37:698(A)(12), upon a finding of guilt following the preferral of charges, notice and hearing and

a majority vote of its entire membership authorized to participate in the proceeding. La. R.S. 37:698(A)(9), (12) and (16) and La. R.S. 37:698(H) were in effect at all times material hereto.

It is undisputed that (a) Respondent has been licensed in Louisiana as a professional engineer since June 18, 2015, (b) at all times material hereto R&B was licensed in Louisiana as a professional engineering firm, however its license was in an expired status from October 1, 2014 through July 25, 2017, (c) Respondent was an employee and representative of R&B from June 1998 to May 13, 2016, (d) between October 1, 2014 and July 25, 2017 R&B admittedly practiced and offered to practice engineering in Louisiana, (e) between June 18, 2015 and May 13, 2016, Respondent provided engineering services for R&B in Louisiana and (f) Respondent aided or assisted R&B in violating the laws and/or rules of the Board.

By letter dated August 14, 2019, the Board gave notice to Respondent that it was considering the preferral of charges against Respondent on the grounds that Respondent may have violated La. R.S. 37:698(A)(12), relative to aiding or assisting another person in violating the laws and/or rules of the Board.

Wishing to dispense with the need for further disciplinary action and to conclude the instant proceeding without further delay and expense, for the purpose of this proceeding only, Respondent and the Board do hereby enter into this Consent Order, in which Respondent of his own free will consents to the issuance of a Consent Order by the Board, wherein Respondent agrees to (a) pay a fine of Five Hundred and No/100 (\$500.00) Dollars, (b) pay administrative costs of One Thousand Four Hundred Twenty-Four and 50/100 (\$1,424.50) Dollars, (c) successfully complete the Board's online Louisiana Laws and Rules Quiz, (d) successfully complete the Board's online Louisiana Professionalism and Ethics Quiz, and (e) the publication of this Consent Order on the Board's website and a summary of this matter in the Board's official journal, the *Louisiana Engineer and Surveyor Journal*, and the reporting of this matter to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name.

Respondent admits that his conduct as set forth above constitutes violations of the above referenced laws and/or rules as stated herein. Respondent acknowledges awareness of said laws and/or rules and states that he will comply with all applicable laws and rules henceforth.

Respondent has been advised of his right to an informal conference, to be represented by counsel

before the Board and/or to appear at any hearing personally or by counsel and present witnesses and evidence in his own behalf, he hereby waives this right and his right to appeal, and he states affirmatively that he has been afforded all administrative remedies due him under the law.

Respondent further acknowledges awareness of the fact that the signed original of this Consent Order will remain in the custody of the Board as a public record and will be made available for public inspection and copying upon request.

Therefore, in consideration of the foregoing and by signing this Consent Order, Respondent does hereby waive his right to an informal conference, to a hearing before the Board, to the presenting of evidence and witnesses on his behalf, to Findings of Fact and Conclusions of Law in this case, and to judicial review of this Consent Order.

Respondent hereby represents that (a) he fully understands the meaning and intent of this Consent Order, including but not limited to its final and binding effect, (b) he has voluntarily entered into this Consent Order and that no other promise or agreement of any kind has been made to or with it by any person whatsoever to cause the execution of this instrument and (c) the sanctions set forth in this Consent Order do not prevent the Board from taking further disciplinary or enforcement action against Respondent on matters not specifically addressed in this Consent Order.

WHEREFORE, the Louisiana Professional Engineering and Land Surveying Board and Respondent agree that:

1. Respondent shall pay a fine of Five Hundred and No/100 (\$500.00) Dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and
2. Respondent shall pay administrative costs of One Thousand Four Hundred Twenty-Four and 50/100 (\$1,424.50) Dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and
3. Respondent shall successfully complete the Board's online Louisiana Laws and Rules Quiz with a score of 90% or higher and return it to the Board within sixty (60) days of the effective date of this Consent Order; and

4. Respondent shall successfully complete the Board's online Louisiana Professionalism and Ethics Quiz with a score of 90% or higher and return it to the Board within sixty (60) days of the effective date of this Consent Order; and

5. This Consent Order shall be published on the Board's website and a summary of this matter shall be printed in the official journal of the Board, the *Louisiana Engineer and Surveyor Journal*, and reported to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name; and

6. This Consent Order shall not become effective unless and until it is accepted and signed by and on behalf of the Board. Should the Board not accept and sign this Consent Order, it is agreed that presentation of this matter to the Board shall not prejudice the Board or any of its members, staff, attorneys or representatives from further participation, consideration, or resolution of any further proceedings herein.

LOUISIANA PROFESSIONAL ENGINEERING
AND LAND SURVEYING BOARD

DATE: 9/9/19

BY: Donna D. Sentell
DONNA D. SENTELL, Executive Director

DATE: Aug 23, 2019

Gregory S. Spence
GREGORY S. SPENCE, P.E., Respondent

Witnesses to the signature of
Gregory S. Spence, P.E.

Matthew Hendel
Print Name: Matthew Hendel

MATTHEW MEREL
Print Name: MATTHEW MEREL