LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD 9643 BROOKLINE AVENUE, SUITE 121 BATON ROUGE, LOUISIANA 70809-1443 (225) 925-6291

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IN THE MATTER OF: STEVEN E. TATE, P.E.

RESPONDENT

CASE NO.: 2012-109

CONSENT ORDER

The Louisiana Professional Engineering and Land Surveying Board [hereinafter the "Board"], by and through its Executive Director, pursuant to authorization by the Board, and Steven E. Tate, P.E. [hereinafter "Respondent"], hereby agree to the following:

At all times material hereto, Respondent was licensed in the State of Louisiana as a professional engineer, number PE – 18993. At all times material hereto, Pontchartrain Engineers & Surveyors, Inc. [hereinafter "PES"] was licensed in the State of Louisiana as a professional engineering firm, number EF – 1614; however, its license was in an expired status from October 1, 2003 to November 9, 2012. At all times material hereto, Respondent was an employee of PES. Respondent was also an owner of PES between October 1, 2003 and February 2008.

An investigation was opened based upon the Board's receipt of information and documents which suggested that PES may have unknowingly and unintentionally practiced and/or offered to practice engineering in Louisiana with an expired license. The investigation disclosed that (a) between October 1, 2003 and November 9, 2012 PES admittedly provided engineering services on 26 projects in Louisiana, which included at least 10 such projects in Louisiana since 2008 and (b) during at least a portion of this time PES advertised itself in the Tangipahoa and St. Tammany Parish AT&T Real Yellow Pages as "Pontchartrain Engineers & Surveyors Inc."

La. R.S. 37:698(A)(9) and (16) prohibit licensees from practicing and/or offering to practice engineering in Louisiana with an expired license. La. R.S. 37:698(A)(12) prohibits licensees from aiding or assisting another person in violating the laws and/or rules of the Board. La. R.S. 37:698(H) permits the Board to take disciplinary action against persons who violate La. R.S. 37:698(A)(12), upon a finding of guilt following the preferral of charges, notice and hearing and a majority vote of its entire membership authorized to participate in the proceeding. La. R.S. 37:698(A)(9), (12) and (16) and 37:698(H) were in effect at all times material hereto.

It is undisputed that (a) PES' license to practice and/or offer to practice engineering in Louisiana was unknowingly and unintentionally in an expired status between October 1, 2003 and November 9, 2012, (b) during this time period PES practiced and/or offered to practice engineering in Louisiana and (c) Respondent unknowingly and unintentionally aided or assisted PES in violating the laws and/or rules of the Board.

By letter dated February 27, 2013, the Board gave notice to Respondent that it was considering the preferral of charges against Respondent on the grounds that he may have violated La. R.S. 37:698(A)(12), relative to the aiding or assisting of another person in violating the laws and/or rules of the Board.

Wishing to dispense with the need for further disciplinary action and to conclude the instant proceeding without further delay and expense, for the purpose of this proceeding only, Respondent and the Board do hereby enter into this Consent Order, in which Respondent of his own free will consents to the issuance of a Consent Order by the Board, wherein Respondent agrees to (a) pay a fine of two hundred fifty (\$250.00) dollars, (b) pay administrative costs of three hundred eight and 92/100 (\$308.92) dollars, (c) successfully complete the Board's online Louisiana Laws and Rules Quiz, (d) successfully complete the Board's online Louisiana Professionalism and Ethics Quiz and (e) the publishing of this Consent Order on the Board's website and a summary of this matter in the Board's official journal, the Louisiana Engineer and Surveyor Journal, and the reporting of this matter to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name.

Respondent admits to unknowing and unintentional violations of the referenced laws and/or rules regarding the aiding or assisting of another person in violating the laws and/or rules of the Board. Respondent acknowledges awareness of said laws and/or rules and states that he will comply with all applicable laws and rules henceforth. Respondent has been advised of his right to be represented by counsel before the Board and/or to appear at any hearing personally or by counsel and present witnesses and evidence in his own behalf, and he hereby waives this right and his right to appeal; and he states affirmatively that he has been afforded all administrative remedies due him under the law. Respondent further acknowledges awareness of the fact that the Page 2 of 4 signed original of this Consent Order will remain in the custody of the Board as a public record and will be made available for public inspection and copying upon request.

Therefore, in consideration of the foregoing and by signing this Consent Order, Respondent does hereby waive his right to a hearing before the Board, to the presenting of evidence and witnesses in his behalf, to Findings of Fact and Conclusions of Law in this case, and to judicial review of this Consent Order.

Respondent hereby represents (a) that he fully understands the meaning and intent of this Consent Order, including but not limited to its final and binding effect, (b) that he has voluntarily entered into this Consent Order and that no other promise or agreement of any kind has been made to or with him by any person whatsoever to cause the execution of this instrument and (c) that the sanctions set forth in this Consent Order do not prevent the Board from taking further disciplinary or enforcement action against Respondent on matters not specifically addressed in this Consent Order.

WHEREFORE, the Louisiana Professional Engineering and Land Surveying Board and Respondent agree that:

 Respondent shall pay a fine of two hundred fifty (\$250.00) dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and

Respondent shall pay administrative costs of three hundred eight and 92/100
(\$308.92) dollars, which shall be tendered to the Board by certified check payable to the Board, due upon the signing of this Consent Order; and

3. Respondent shall successfully complete the Board's online Louisiana Laws and Rules Quiz with a score of 90% or higher and return it to the Board within sixty (60) days of the effective date of this Consent Order; and

Respondent shall successfully complete the Board's online Louisiana
Professionalism and Ethics Quiz with a score of 90% or higher and return it to the Board within sixty (60) days of the effective date of this Consent Order; and

5. This Consent Order shall be published on the Board's website and a summary of this matter shall be printed in the official journal of the Board, the Louisiana Engineer and

<u>Surveyor Journal</u>, and reported to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Respondent by name; and

6. This Consent Order shall not become effective unless and until it is accepted and signed by and on behalf of the Board. Should the Board not accept and sign this Consent Order, it is agreed that presentation of this matter to the Board shall not prejudice the Board or any of its members, staff, attorneys or representatives from further participation, consideration, or resolution of any further proceedings herein.

LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

DATE: 5/20/13

DATE:

BY: <u>Jour J. Senttel</u> DONNA D. SENTELL, Executive Director

STEVEN E. TATE, P.E., Respondent

Witnesses to the signature of Steven E. Tate, P.E.

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