## LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

9643 Brookline Avenue, Suite 123 Baton Rouge, Louisiana 70809

### IN THE MATTER OF PAUL L. BROWN, P.E.

CASE NO. 2008-46

### Present at the Hearing:

### **Board Members:**

Timothy J. Allen, P.L.S., Chairman James D. Garber, PhD., P.E. James E. Bowie, Jr., PhD., P.E. Miles B. Williams, P.E. Roger D. Danzy, P.E. Rhaoul A. Guillaume, P.E.

### Also Present:

Donna D. Sentell, Executive Secretary
Robert E. Eddleman, Deputy Executive Secretary/Director of Enforcement
Justin G. Owens, Board Investigator
D. Scott Landry, Board Counsel
Celia R. Cangelosi, Prosecuting Attorney

M. Ernest Gammon, Sr., P.L.S.

# FINAL FINDINGS OF FACT AND CONCLUSIONS OF LAW

This matter came on for hearing in Baton Rouge, Louisiana on March 23, 2009 at 2:30 p.m. No one appeared at the hearing on behalf of Paul L. Brown, P.E., and the hearing proceeded as authorized by La. R.S. 37:698(G). After hearing the arguments of counsel and upon due consideration of the testimony and other evidence presented, the Louisiana Professional Engineering and Land Surveying Board ("LAPELS") makes the following Final Findings of Fact and Conclusions of Law.

# **FINAL FINDINGS OF FACT**

1.

Paul L. Brown, P.E. has been licensed with LAPELS as a professional engineer, number PE-25354, since 1993.

On August 20, 2007, Mr. Brown submitted to LAPELS his Louisiana professional engineer license renewal form, confirming thereon that (a) he had met LAPELS' requirements for continuing professional development ("CPD") for the renewal period of October 1, 2005 to September 30, 2007, (b) if selected for a CPD audit by LAPELS he would be required to submit documentation to validate completion of all CPD requirements and (c) failure to comply with such CPD requirements or false statements made by him could be cause for disciplinary action by LAPELS. See LAPELS' Exhibit 1.

3.

LAPELS staff subsequently selected Mr. Brown for a random audit of his CPD records for the renewal period of October 1, 2005 to September 30, 2007. <u>See LAPELS' Exhibit 2</u>.

4.

On or about February 11, 2008, LAPELS staff sent a letter to Mr. Brown notifying him of his selection for a random audit of his CPD records for the renewal period of October 1, 2005 to September 30, 2007 and instructing him to provide LAPELS with his completed Professional Development Activity Log and all supporting documentation within thirty (30) days. Mr. Brown failed to respond to this letter.

5.

On May 6, 2008, LAPELS staff sent a letter to Mr. Brown by certified mail, return receipt requested, notifying him that he had been deemed not in compliance with LAPELS' CPD requirements (due to his failure to respond to the February 11, 2008 audit notice) and giving him one hundred twenty (120) days to provide proof of compliance, along with payment of a \$200 administrative fee. The letter was received by Mr. Brown on May 10, 2008; however, he once again failed to respond. See LAPELS' Exhibit 3.

6.

On November 5, 2008, LAPELS staff sent a letter to Mr. Brown by certified mail (return receipt requested) and regular mail, advising him that LAPELS was considering preferring charges against him involving a possible violation of La. R.S. 37:698(A)(6), to wit LAC Title 46:LXI§3117 and 3119, relative to the failure to comply with LAPELS' CPD requirements. LAPELS also attempted to resolve the matter informally, by including with the letter a proposed

Consent Order and a notification to Mr. Brown of his right to request an informal conference. The letter and proposed Consent Order sent by certified mail were received at Mr. Brown's address on November 10, 2008; however, he once again failed to respond. <u>See LAPELS' Exhibit 4</u>.

7.

Mr. Brown never requested an informal conference and did not accept the proposed Consent Order.

8.

On January 12, 2009, by a unanimous vote LAPELS preferred charges against Mr. Brown for an alleged violation of La. R.S. 37:698(A)(6), to wit LAC Title 46:LXI§3117 and 3119 (failure to comply with LAPELS' CPD requirements). LAPELS also directed that a hearing be set to consider the charges against Mr. Brown on March 23, 2009 at 2:30 p.m. at the LAPELS office. A copy of the Notice of Charges and Hearing was sent to Mr. Brown by certified mail (return receipt requested) on February 3, 2009. The letter and Notice of Charges and Hearing were never returned. See LAPELS' Exhibit 5.

9.

The actual costs incurred by LAPELS in connection with this case through March 20, 2009 (which is prior to the date of the hearing) amounted to \$1,576.27. See LAPELS' Exhibits 6 and 7.

### FINAL CONCLUSIONS OF LAW

1.

LAPELS has jurisdiction over this matter because Mr. Brown is licensed by LAPELS as a professional engineer and he renewed his license in 2007.

2.

La. R.S. 37:698(A)(6) has at all relevant times provided as follows:

# §698. Disciplinary proceedings against licensees and certificate holders; procedure

A. The board shall have the power to take disciplinary action against any licensee or certificate holder found by the board to be guilty of any of the following acts or offenses:

(6) Violation of any provision of this Chapter or any rules or regulations adopted and promulgated by the board.

LAC Title 46:LXI§3117 and 3119 have at all relevant times provided, in pertinent part, as follows:

### §3117. Audit and Review of Records

- A. The board may request, at any time, that a licensee provide proof of compliance with all CPD requirements.
- B. Additionally, the board will conduct random audits of biennial renewals of up to 30 percent of all board licensees.
- D. Should the licensee fail to provide proof of compliance, or if discrepancies or deficiencies are discovered as the result of any of the reviews provided for in §3117.A-C, the licensee will be deemed not in compliance.

### §3119. Failure to Comply

A. When a licensee is deemed not in compliance with the CPD requirements of the board, the licensee will be so notified and will be given 120 days to satisfy the board requirements. The licensee must provide documented evidence of compliance accompanied by payment of an administrative fee of \$200. Failure to comply will subject the licensee to disciplinary action as provided in the licensure law.

4.

Louisiana law gives LAPELS authority to take disciplinary action against Mr. Brown based on the facts in this case.

5.

Pursuant to La. R.S. 37:698(A), (B) and (J), the disciplinary action which LAPELS can take against any person found by LAPELS to be guilty of any of the acts or offenses listed in La. R.S. 37:698(A) includes, but is not limited to, reprimand, probation, suspension, revocation, fine (in an amount not to exceed \$5,000 per violation) and all reasonable costs.

6.

Since Mr. Brown failed to submit proof of CPD compliance in response to the audit of his CPD records and failed to pay the administrative fee, he violated La. R.S. 37:698(A)(6), to wit LAC Title 46:LXI§3117 and 3119.

A Final Decision and Order will issue this date assessing appropriate disciplinary action against Mr. Brown.

Baton Rouge, Louisiana, this 20th day of July, 2009.

LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

By:

TIMOTHY J. ALLEN, P.L.S., Chairman

### LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

9643 Brookline Avenue, Suite 123 Baton Rouge, Louisiana 70809

## IN THE MATTER OF PAUL L. BROWN, P.E.

### **CASE NO. 2008-46**

## FINAL DECISION AND ORDER

The Proposed Findings of Fact and Conclusions of Law and Proposed Decision and Order were issued and forwarded to Paul L. Brown, P.E. and the prosecuting attorney (Celia R. Cangelosi) on July 7, 2009. No exceptions or briefs were filed or oral argument requested by Mr. Brown or the prosecuting attorney. After due consideration of the Proposed Findings of Fact and Conclusions of Law and Proposed Decision and Order,

### IT IS ORDERED THAT:

1.

The Louisiana Professional Engineering and Land Surveying Board ("LAPELS") adopts its Proposed Findings of Fact and Conclusions of Law as final in this case, and the same is incorporated herein by reference as if set forth *in extenso*.

2.

The charges against Paul L. Brown, P.E. under La. R.S. 37:698(A)(6), to wit LAC Title 46:LXI§3117 and 3119, are hereby sustained.

3.

Mr. Brown is hereby assessed a fine in the amount of Seven Hundred Fifty and No/100 (\$750.00) Dollars.

4.

Mr. Brown is also hereby assessed an administrative fee in the amount of Two Hundred and No/100 (\$200.00) Dollars.

5.

Mr. Brown is also hereby assessed the actual costs incurred by LAPELS in connection with this case through the hearing in the amount of Two Thousand Two Hundred Ninety-Two and 52/100 (\$2,292.52) Dollars.

6.

Mr. Brown shall successfully complete and submit to LAPELS its online Louisiana Laws and Rules Examination with a score of ninety (90%) percent or higher within sixty (60) days of this Final Decision and Order becoming final.

7.

Mr. Brown shall submit to LAPELS an up-to-date, complete Professional Development Activity Log and all supporting documentation for the renewal period of October 1, 2005 to September 30, 2007 within fifteen (15) days of this Final Decision and Order becoming final.

8.

Mr. Brown's Louisiana professional engineer license, number PE-25354, is hereby suspended until he fully complies with all of the provisions of this Final Decision and Order.

9.

The Final Findings of Fact and Conclusions of Law and Final Decision and Order shall be published on the LAPELS website, and a summary of this case shall be published in the official journal of LAPELS and reported to the National Council of Examiners for Engineering and Surveying (NCEES), identifying Mr. Brown by name.

10.

This vote of LAPELS was unanimous.

THUS DONE at Baton Rouge, Louisiana, this 20th day of July, 2009.

LOUISIANA PROFESSIONAL ENGINEERING AND LAND SURVEYING BOARD

Bv

ГІМОТНҮ J. ALLÉN, P**)**L.S., Chairman